

# **The evolving role of thoracic surgeons in the era of immunotherapy**

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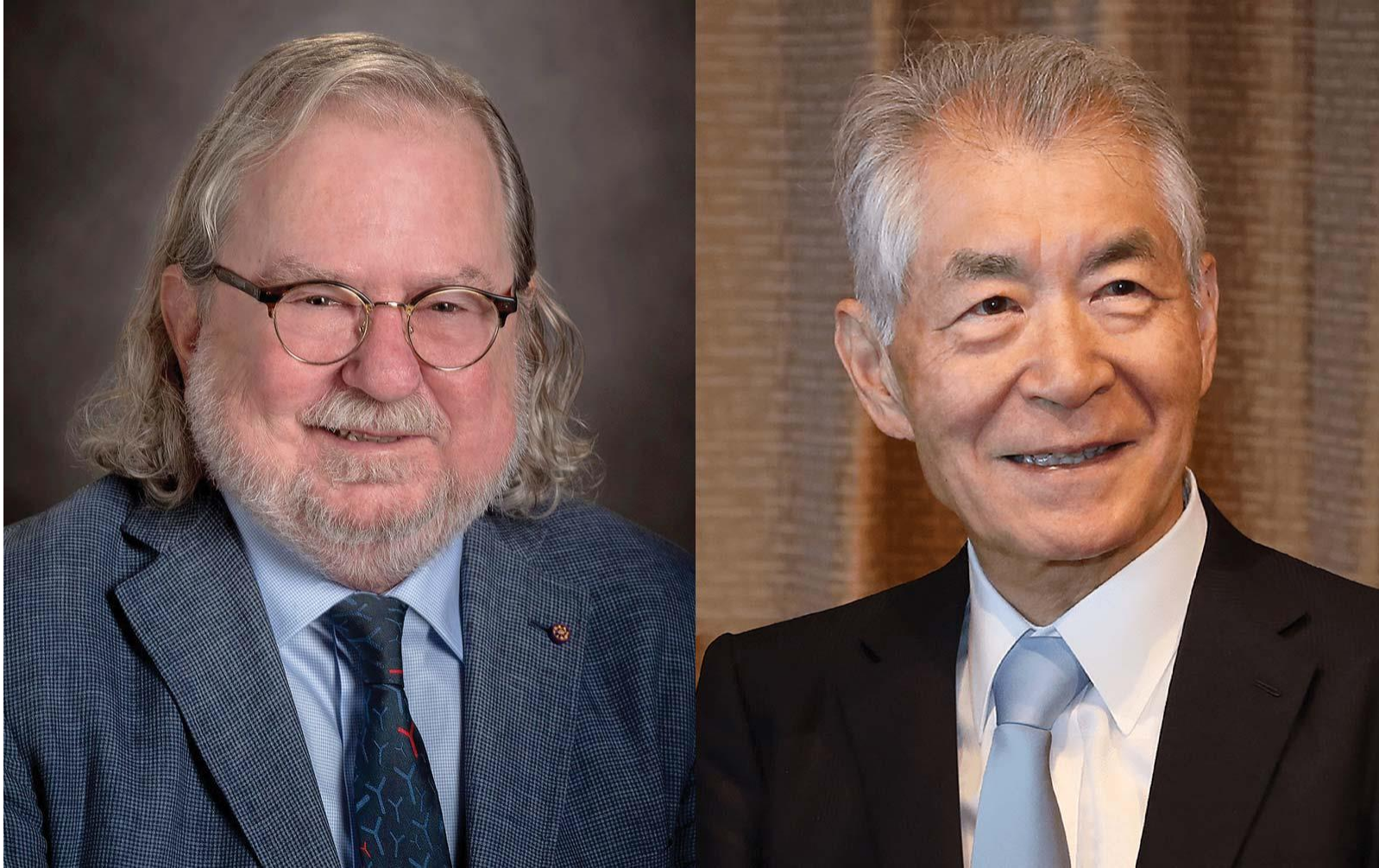
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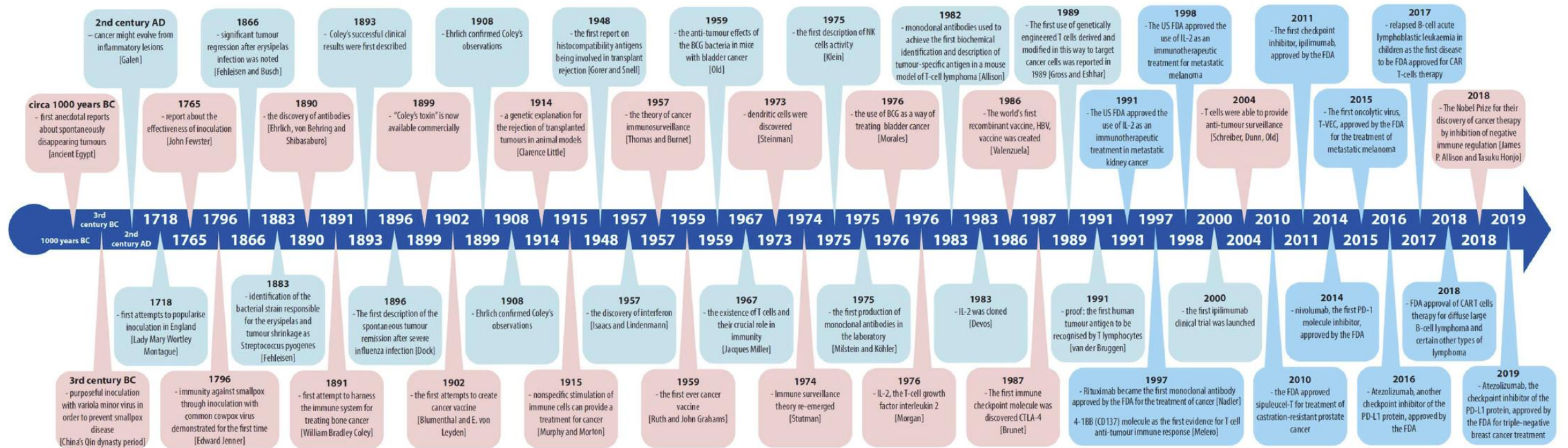
# ***2018 Nobel Prize in Physiology or Medicine***



**James P. Allison**  
CTLA-4

**Tasuku Honjo**  
PD-1

# Evolution of Immunotherapy





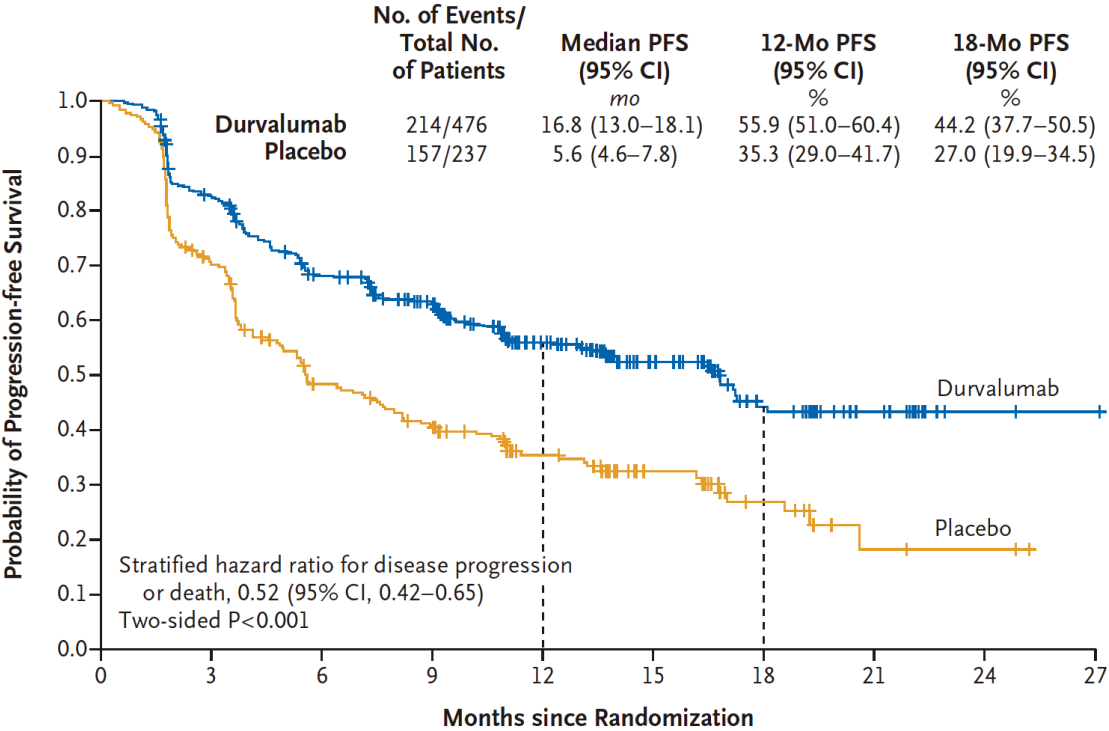
**Table 1** Classification of Immunotherapy for Cancer with examples of specific agents

| Immunomodulators   | Targeted antibodies   | Adoptive cell therapy  | Cancer vaccines                           | Oncolytic viruses                |
|--|---|--|---|----------------------------------|
| <b>Immune checkpoint inhibitors (ICIs)</b><br>Ipilimumab, nivolumab, pembrolizumab | <b>Unconjugated monoclonal antibodies</b><br>Rituximab, pertuzumab, cetuximab, bevacizumab              | <b>Chimeric antigen receptor (CAR) T cell therapy</b><br>Axicabtagene ciloleucel, lisocabtagene maraleucel | <b>Therapeutic</b><br>BCG, sipuleucel-T   | Talimogene laherparepvec (T-VEC) |
| <b>Cytokines</b><br>GM-CSF, interferon alfa, aldesleukin                           | <b>Antibody–drug conjugates (ADCs)</b><br>Belantamab mafodotin-blmf, brentuximab vedotin                | <b>Tumor-infiltrating lymphocyte (TIL) therapy</b>   | <b>Personalized neoantigen</b>            |                                  |
| <b>Toll-like Receptor (TLR) Agonists &amp; Adjuvants</b><br>Imiquimod, poly ICLC   | <b>Bispecific antibodies, including Bispecific T-cell engagers (BiTEs)</b><br>Amivantamab, blinatumomab | <b>Engineered T cell receptor (TCR) therapy</b>  | <b>Preventive</b><br>HPV and HBV vaccines |                                  |
| <b>Other</b><br>Pexidartinib   |   | <b>Natural killer (NK) cell therapy</b>  |   |                                  |

Granulocyte–macrophage colony-stimulating factor (*GM-CSF*), polyriboinosinic-polyribocytidylic acid (*poly-ICLC*), human papillomavirus (*HPV*), hepatitis B virus (*HBV*), Bacillus Calmette-Guerin (*BCG*)

Durvalumab after Chemoradiotherapy in Stage III  
Non–Small-Cell Lung Cancer

S.J. Antonia, A. Villegas, D. Daniel, D. Vicente, S. Murakami, R. Hui, T. Yokoi, A. Chiappori, K.H. Lee, M. de Wit, B.C. Cho, M. Bourhaba, X. Quantin, T. Tokito, T. Mekhail, D. Planchard, Y.-C. Kim, C.S. Karapetis, S. Hiret, G. Ostoros, K. Kubota, J.E. Gray, L. Paz-Ares, J. de Castro Carpeño, C. Wadsworth, G. Melillo, H. Jiang, Y. Huang, P.A. Dennis, and M. Özgüroğlu, for the PACIFIC Investigators\*



| No. at Risk | 0   | 3   | 6   | 9   | 12  | 15 | 18 | 21 | 24 | 27 |
|-------------|-----|-----|-----|-----|-----|----|----|----|----|----|
| Durvalumab  | 476 | 377 | 301 | 264 | 159 | 86 | 44 | 21 | 4  | 1  |
| Placebo     | 237 | 163 | 106 | 87  | 52  | 28 | 15 | 4  | 3  | 0  |

# Editorial

Conducted by EDWIN H. ELLISON, M.D.

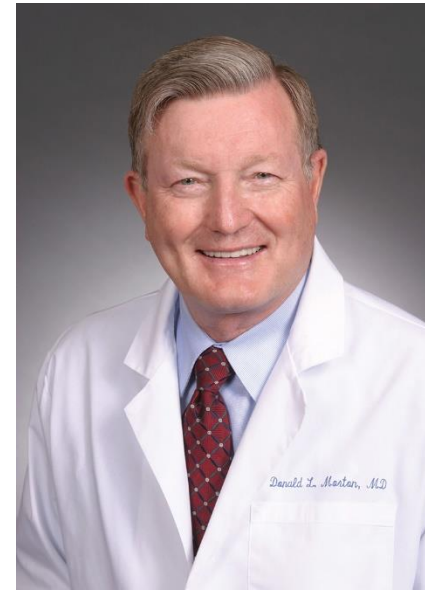
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## Cancer immunology and the surgeon

DONALD L. MORTON, M.D.

BETHESDA, MD.

*From the Tumor Immunology Section, Surgery  
Branch, National Cancer Institute of the National  
Institutes of Health*



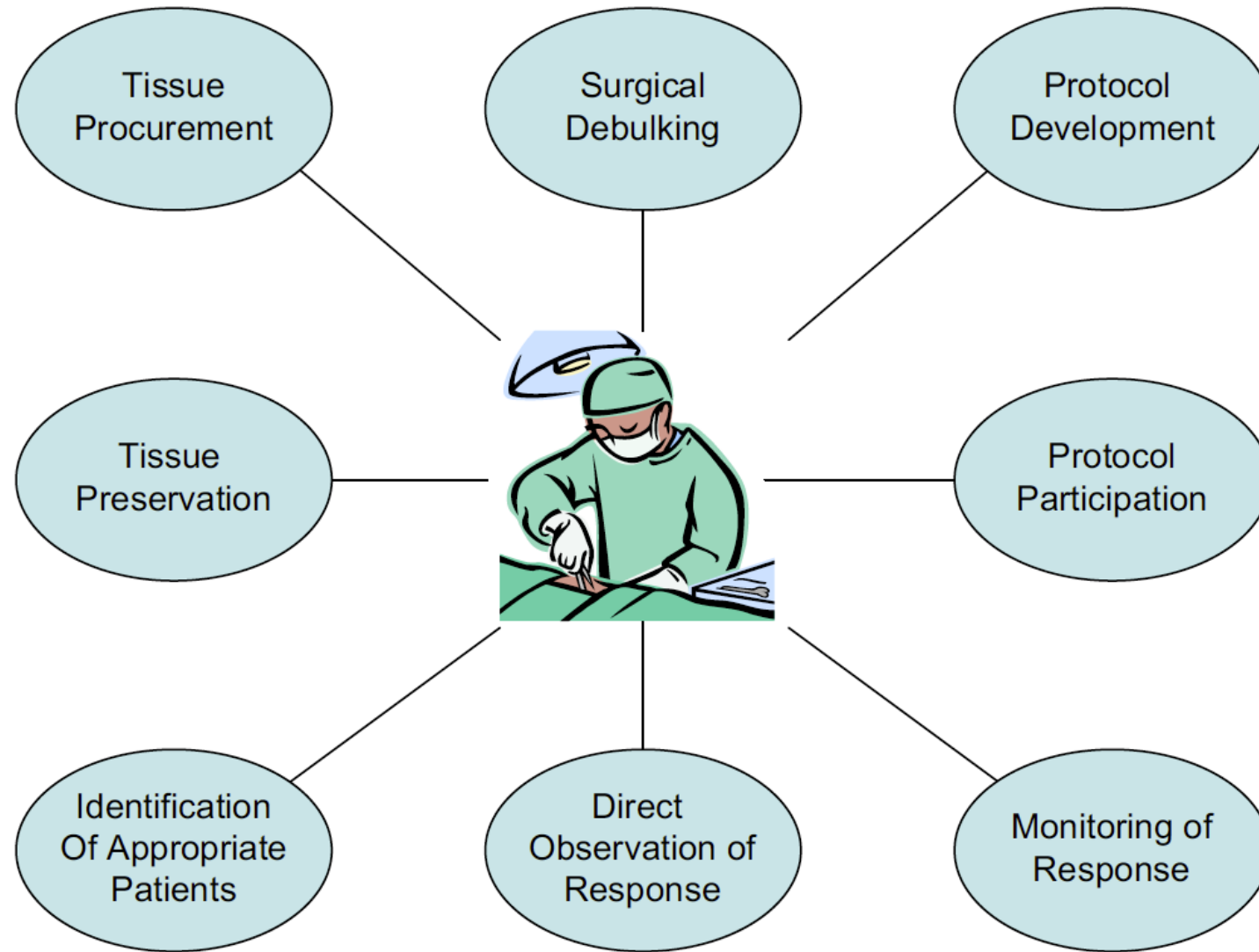
Since it has been difficult or impossible to cause regression of established tumors in animals by immunologic means, it is unlikely that immunotherapy *alone* will ever play the major role in the treatment of cancer.

For these reasons, the surgeon is ideally suited to utilize immunotherapy as a therapeutic tool and should welcome its development. One of the major obstacles to the clinical development of immunotherapy is the paucity of clinicians with adequate training in cancer immunology. Therefore, surgeons with a serious interest in cancer are invited to consider a period of special training in this rapidly advancing area of cancer research.

# Immunotherapy is a local adjunct to definitive surgery

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- Patients who have only small foci of cancer cells remaining after surgical removal of the bulk of tumor are those most likely to benefit from immunotherapy.
- The cancer patient's immunological competence is greatest in the stage of localized cancer and progressively declines with advancing disease.
- Immunotherapy would be expected to compliment rather than to interfere with other currently available methods of managing cancer recurrences following surgery, such as irradiation and chemotherapy.





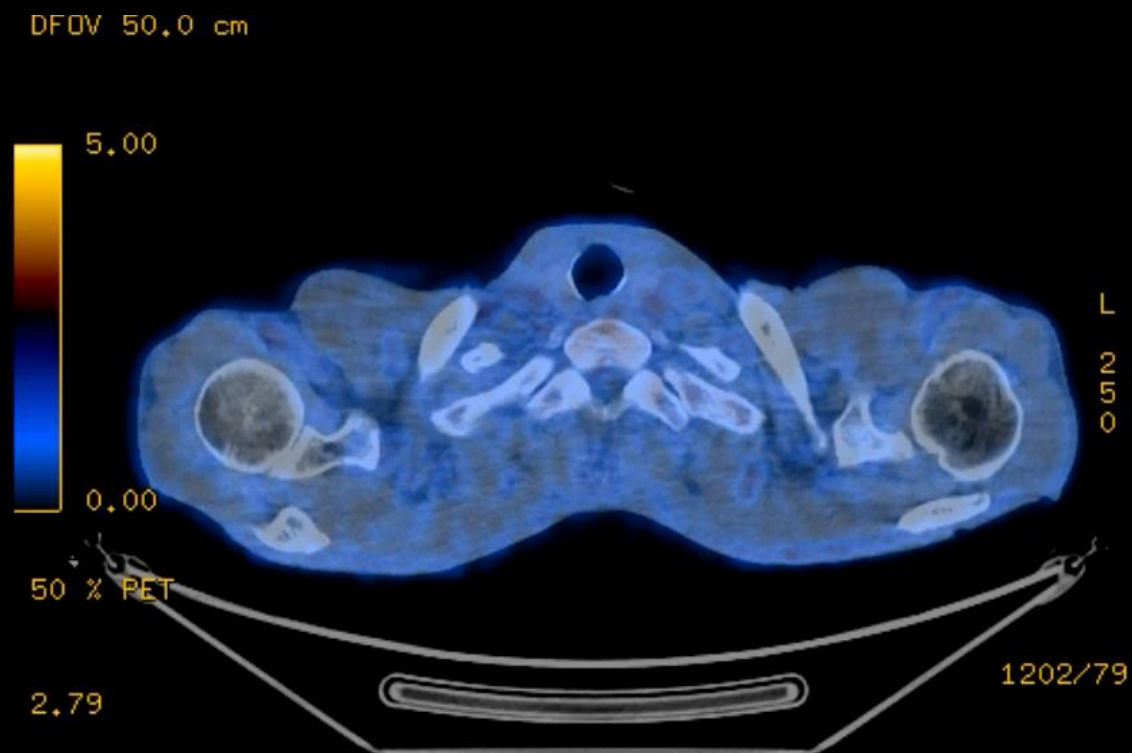
# Surgeon as an investigator

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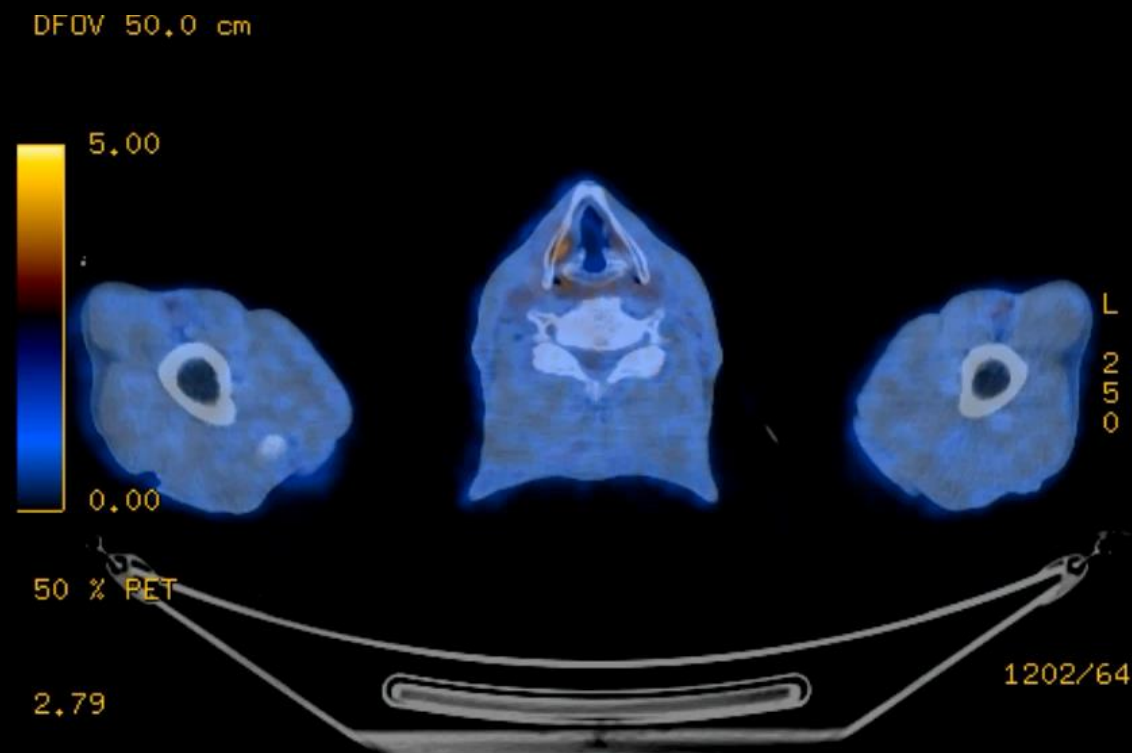
- Protocol awareness
  - First oncologic specialist to diagnose disease and develop the relationship with patients
  - Encourage and promote the clinical trials for patients
  
- Trial involvement
  - Protocol development
  - Procurement and handling of specimen
  - Monitoring the results

## Phase II clinical trial

Neoadjuvant pembrolizumab, docetaxel, cisplatin + surgery + adjuvant pembrolizumab



Initial diagnosis



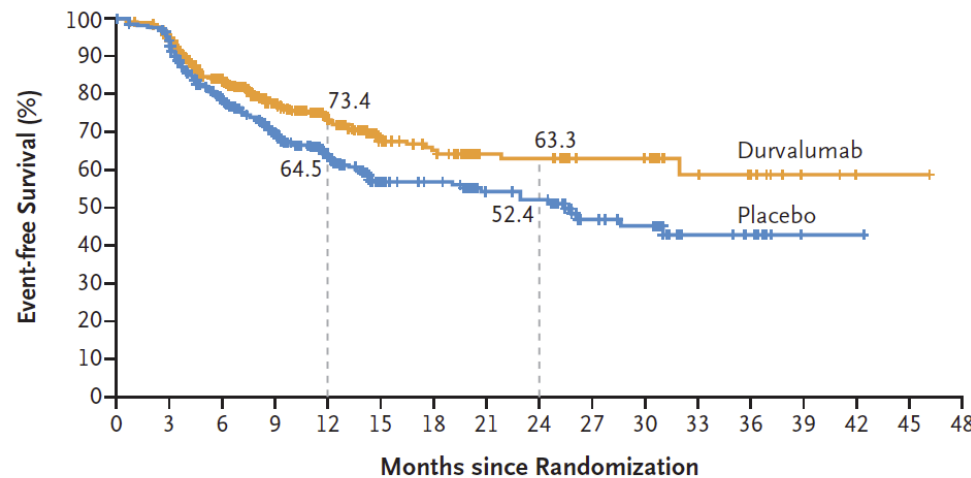
After neoadjuvant therapy

## ORIGINAL ARTICLE

# Perioperative Durvalumab for Resectable Non–Small-Cell Lung Cancer

J.V. Heymach, D. Harpole, T. Mitsudomi, J.M. Taube, G. Galffy, M. Hochmair, T. Winder, R. Zukov, G. Garbaos, S. Gao, H. Kuroda, G. Ostoros, T.V. Tran, J. You, K.-Y. Lee, L. Antonuzzo, Z. Papai-Szekely, H. Akamatsu, B. Biswas, A. Spira, J. Crawford, H.T. Le, M. Aperghis, G.J. Doherty, H. Mann, T.M. Fouad, and M. Reck, for the AEGEAN Investigators\*

## A Event-free Survival



|            | No. of Events/<br>No. of Patients | Median Event-free<br>Survival (95%CI)<br>mo |
|------------|-----------------------------------|---|
| Durvalumab | 98/366 (26.8)                     | NR (31.9–NR)                                |
| Placebo    | 138/374 (36.9)                    | 25.9 (18.9–NR)                              |

Stratified hazard ratio for disease progression, recurrence, or death, 0.68 (95% CI, 0.53–0.88)  
P=0.004 by stratified log-rank test

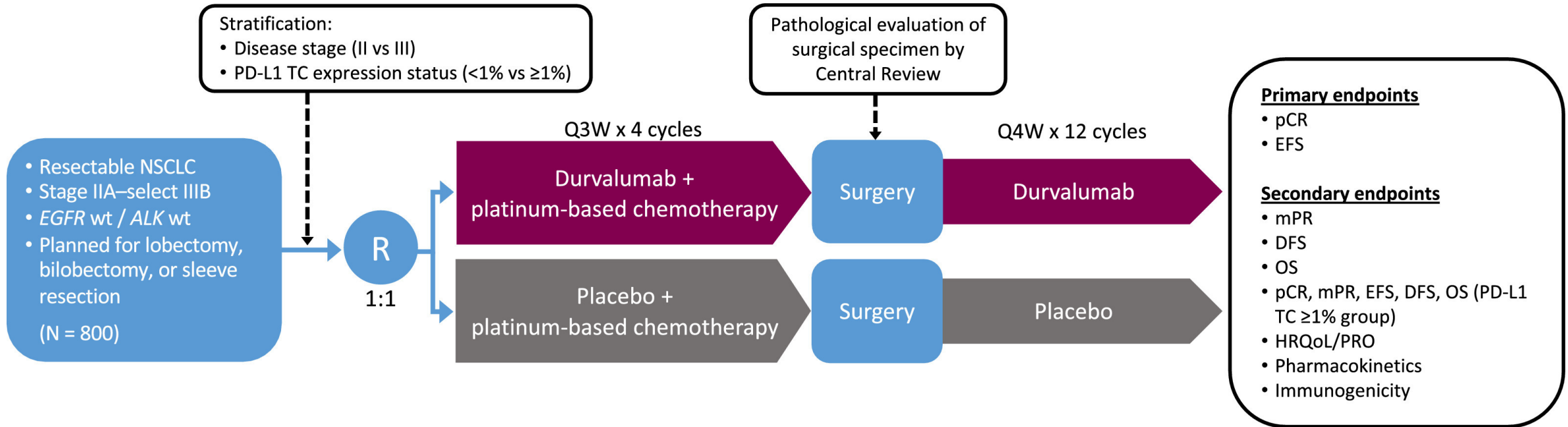
## No. at Risk

|            |     |     |     |     |     |    |    |    |    |    |    |    |    |   |   |   |   |
|------------|-----|-----|-----|-----|-----|----|----|----|----|----|----|----|----|---|---|---|---|
| Durvalumab | 366 | 336 | 271 | 194 | 140 | 90 | 78 | 50 | 49 | 31 | 30 | 14 | 11 | 3 | 1 | 1 | 0 |
| Placebo    | 374 | 339 | 257 | 184 | 136 | 82 | 74 | 53 | 50 | 30 | 25 | 16 | 13 | 1 | 1 | 0 | 0 |

Indication  
Case selection

Timing of surgery  
Type of surgery

Complication profile  
Surgical outcomes



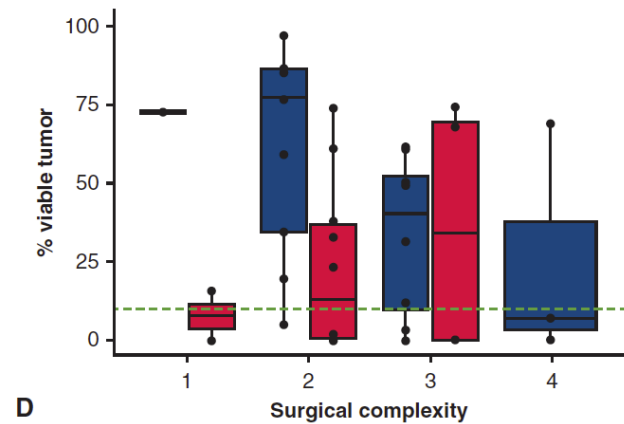
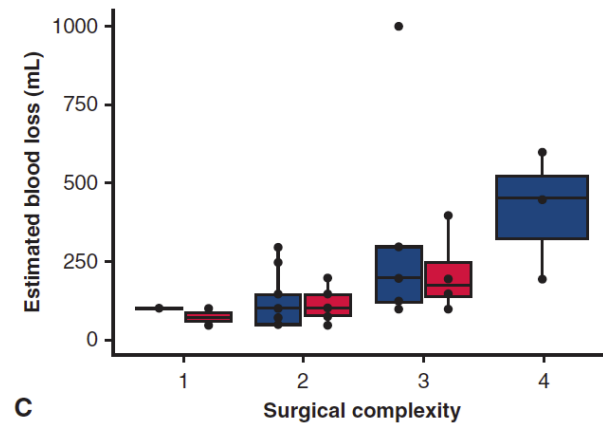
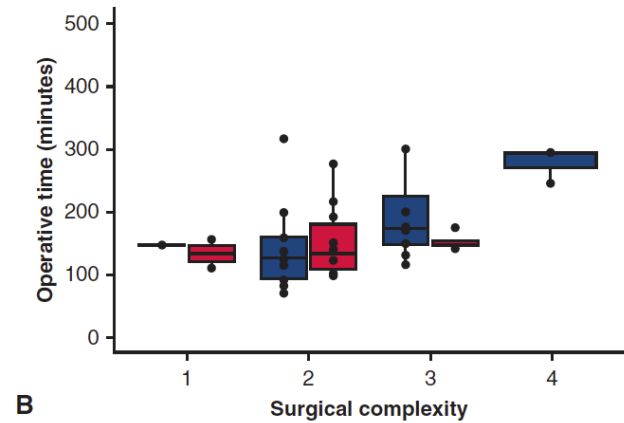
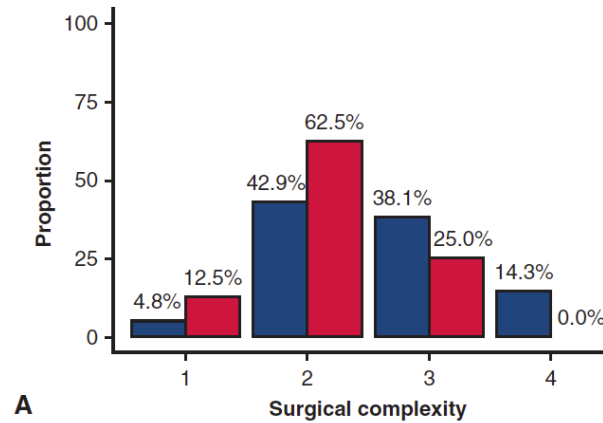
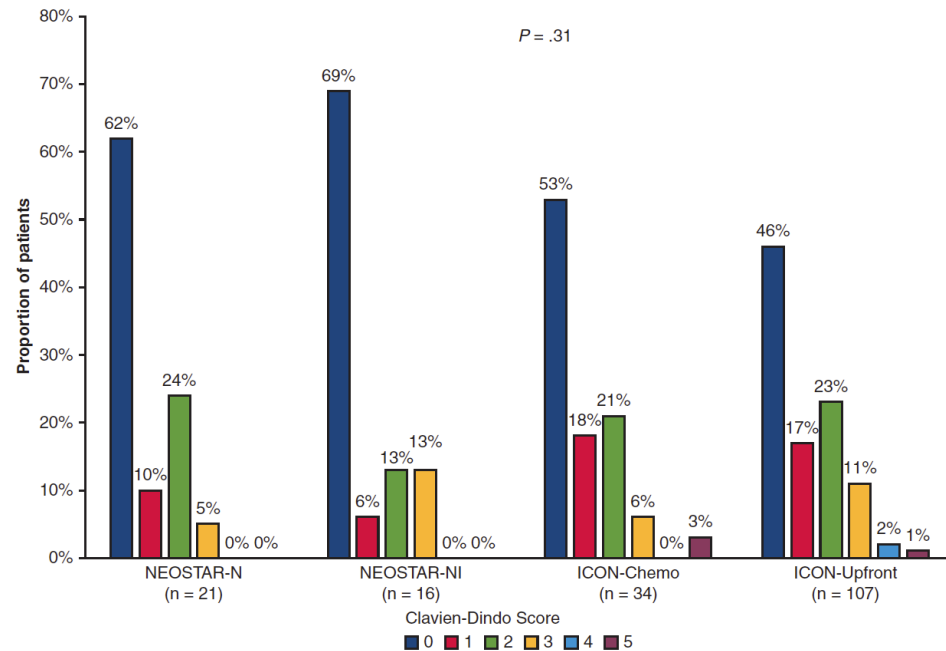


# THORACIC: LUNG CANCER: CLINICAL TRIAL

## Surgical outcomes after neoadjuvant nivolumab or nivolumab with ipilimumab in patients with non-small cell lung cancer



Boris Sepesi, MD,<sup>a</sup> Nicolas Zhou, DO, MSc,<sup>a</sup> William N. William, Jr, MD,<sup>b,c</sup> Heather Y. Lin, PhD,<sup>d</sup> Cheuk H. Leung, MS,<sup>d</sup> Annikka Weissferdt, MD,<sup>c</sup> Kyle G. Mitchell, MD, MSc,<sup>a</sup> Apar Pataer, PhD,<sup>a</sup> Garrett L. Walsh, MD,<sup>a</sup> David C. Rice, MBBCh,<sup>a</sup> Jack A. Roth, MD,<sup>a</sup> Reza J. Mehran, MD,<sup>a</sup> Wayne L. Hofstetter, MD,<sup>a</sup> Mara B. Antonoff, MD,<sup>a</sup> Ravi Rajaram, MD, MSc,<sup>a</sup> Marcelo V. Negrao, MD,<sup>b</sup> Anne S. Tsao, MD,<sup>b</sup> Don L. Gibbons, MD, PhD,<sup>b</sup> J. Jack Lee, PhD,<sup>d</sup> John V. Heymach, MD, PhD,<sup>b</sup> Ara A. Vaporciyan, MD,<sup>a</sup> Stephen G. Swisher, MD,<sup>a</sup> and Tina Cascone, MD, PhD<sup>b</sup>



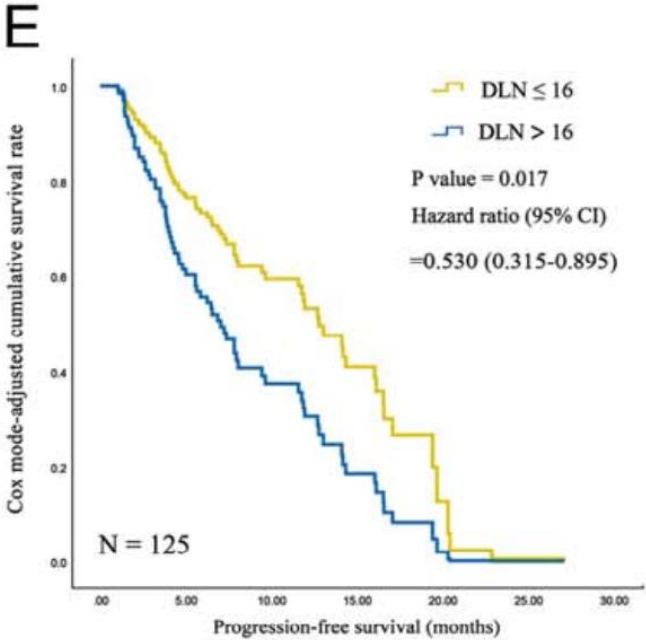
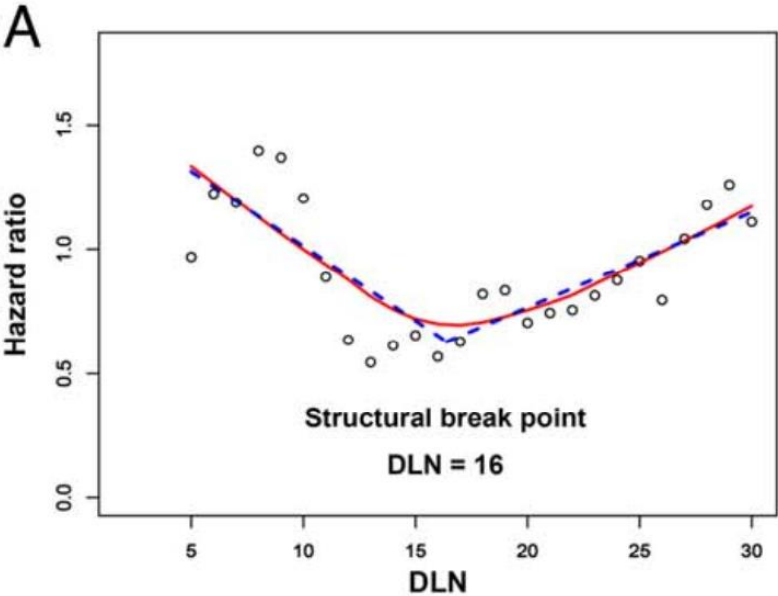
# Surgeon as a clinician

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- Optimal surgical technique (optimal surgical extent)
- Perioperative management
- Cytoreduction

# Radical lymphadenectomy and Survival

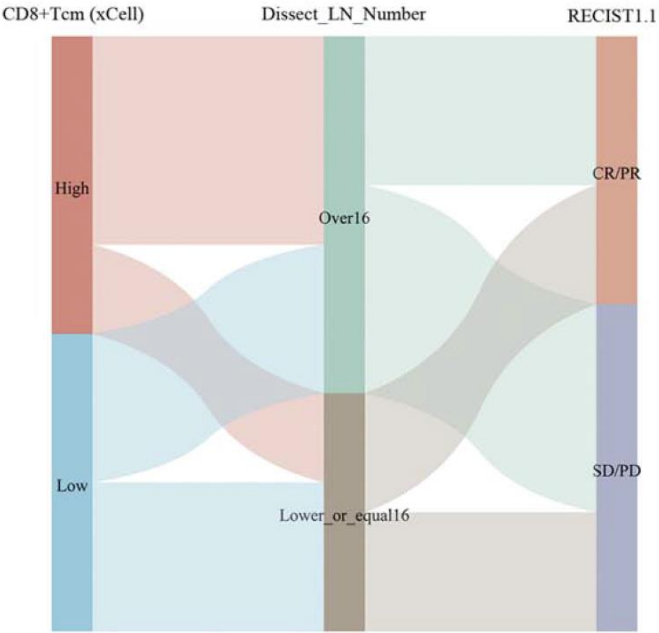
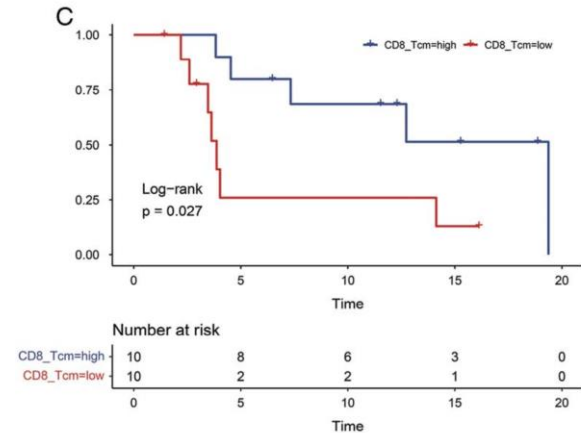
144 patients with NSCLC  
Surgery & anti-PD-1 for recurrence



Survival probability

|           |       |      |      |      |      |    |
|-----------|-------|------|------|------|------|----|
| DLN ≤ 16  | 1.000 | 0.76 | 0.59 | 0.41 | 0.13 | 0  |
| DLN > 16  | 1.000 | 0.60 | 0.37 | 0.19 | 0.02 | 0  |
| PFS (mon) | 0     | 5    | 10   | 15   | 20   | 25 |

Entire set



# Concepts of ideal resection for oncologic surgery

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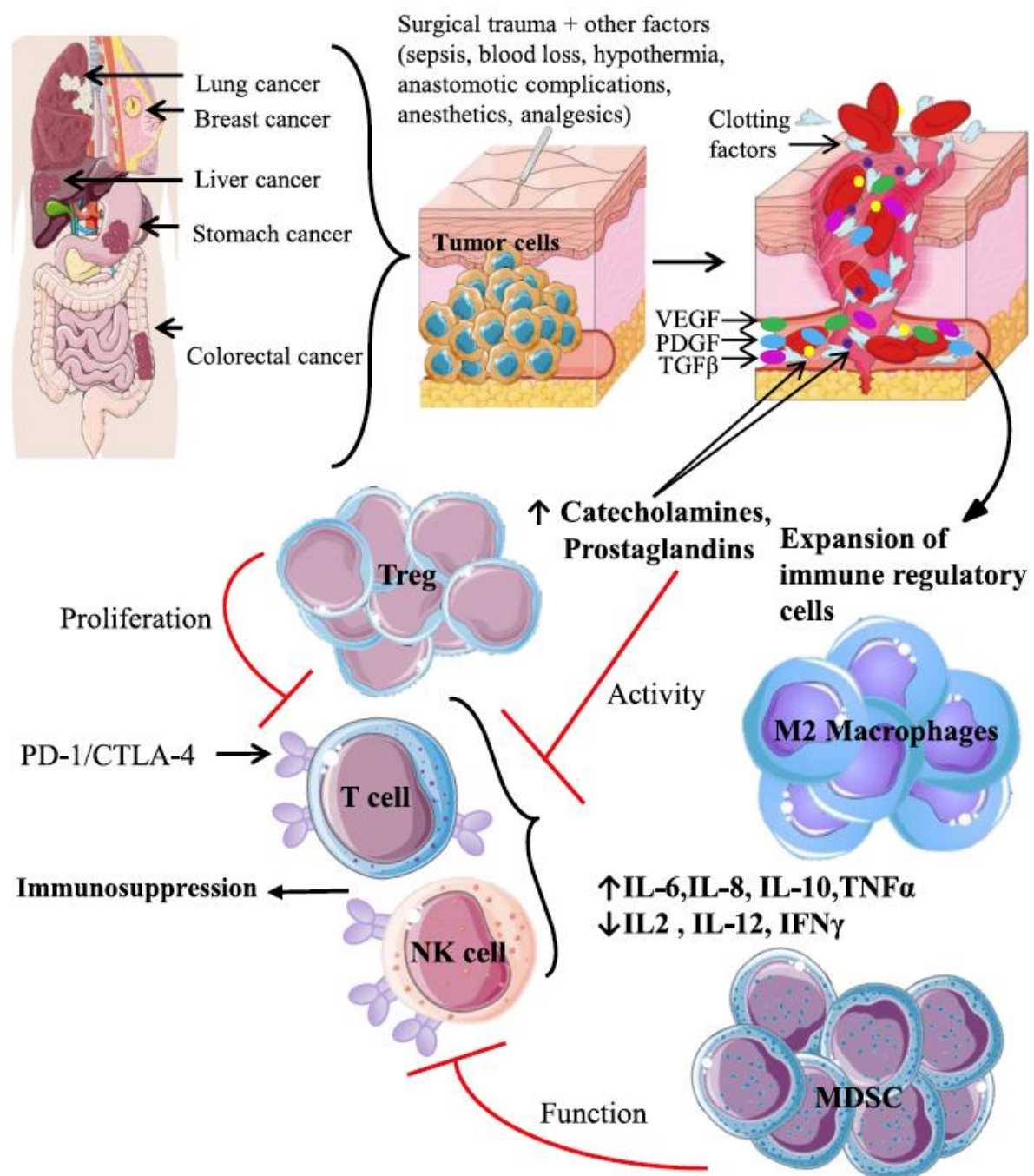
- Classic view-point
  - Complete resection
  - Complete lymphadenectomy & radical resection (3-FL, thoracic duct...)
- New concepts of operation (potentially)
  - Limited resection with R0
  - Patient-tailored lymph node dissection
    - Lobe-specific lymph node dissection, Selective lymph node dissection
    - Sentinel lymph node navigation
    - Image-guided detection & dissection



# Surgery induced metastasis and progression

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- Surgical resection itself can make cancer cells to metastasize and progress
- Surgical trauma disrupts the host immune system
  - Lasts days to weeks
  - “Immunosuppression window”

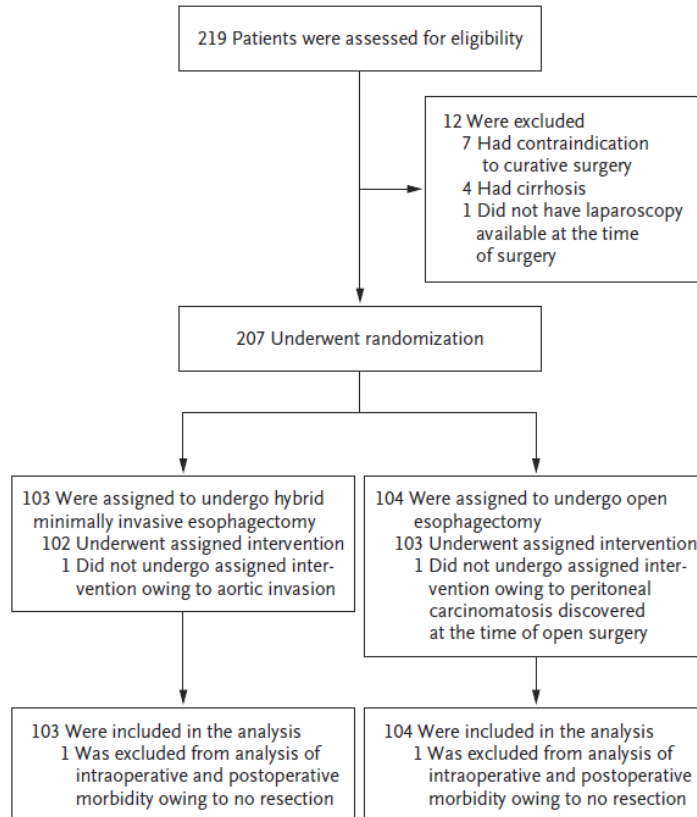


# Optimal operation in the view-point of immunology

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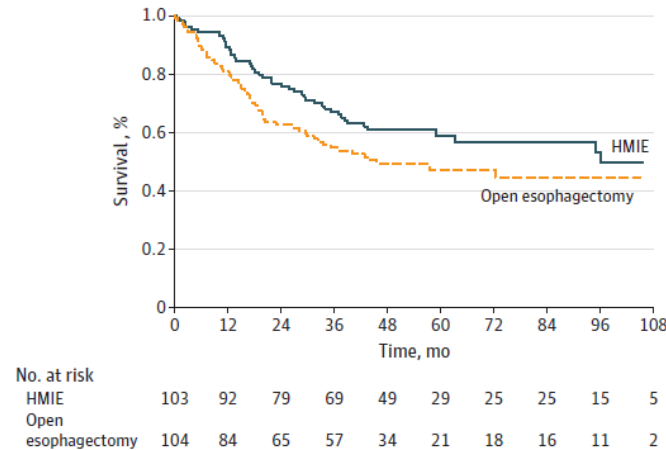
- Perioperative periods; maximal risk of immunosuppression
- Shorten the anesthetic time and operation time
- Perform the minimally invasive surgery
- Prevent blood transfusion and use of morphine
- Prevent complications

# MIRO trials; 5-year follow-up data

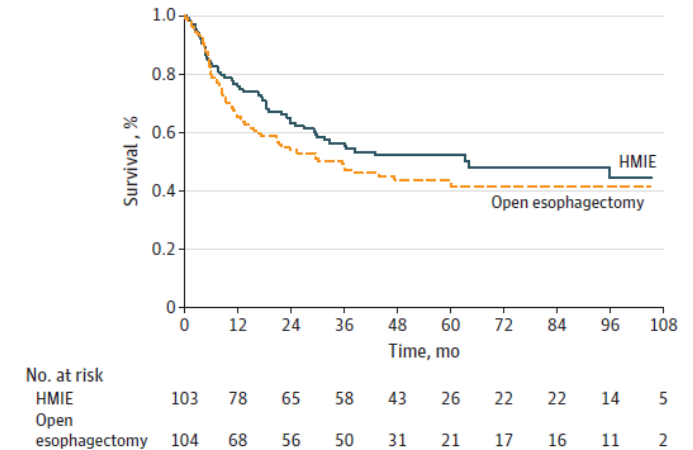


- Intraoperative and postoperative complications (HR 2.21,  $p < 0.001$ )
- Pulmonary complications (HR 1.94,  $p = 0.005$ )

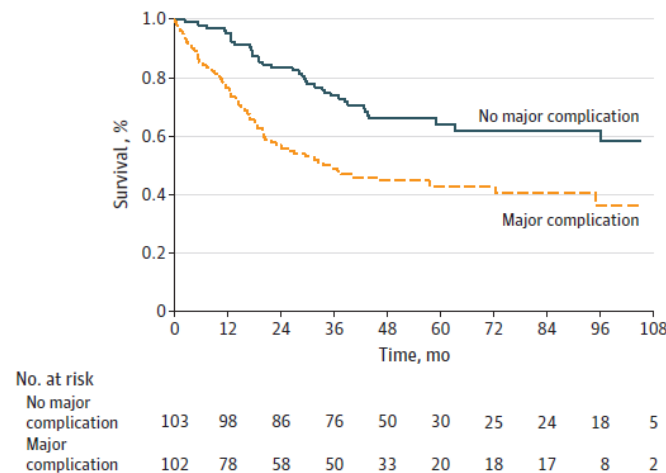
**A** Overall survival in function of HMIE vs open esophagectomy



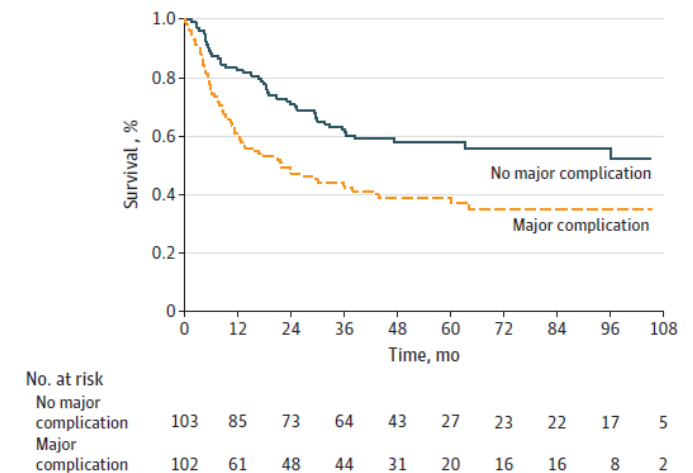
**B** Disease-free survival in function of HMIE vs open esophagectomy



**C** Overall survival in function of major complication rate



**D** Disease-free survival in function of major complication rate





## **Maximal risk of immunosuppression during immediate postoperative periods**

**= Therapeutic window of opportunity**

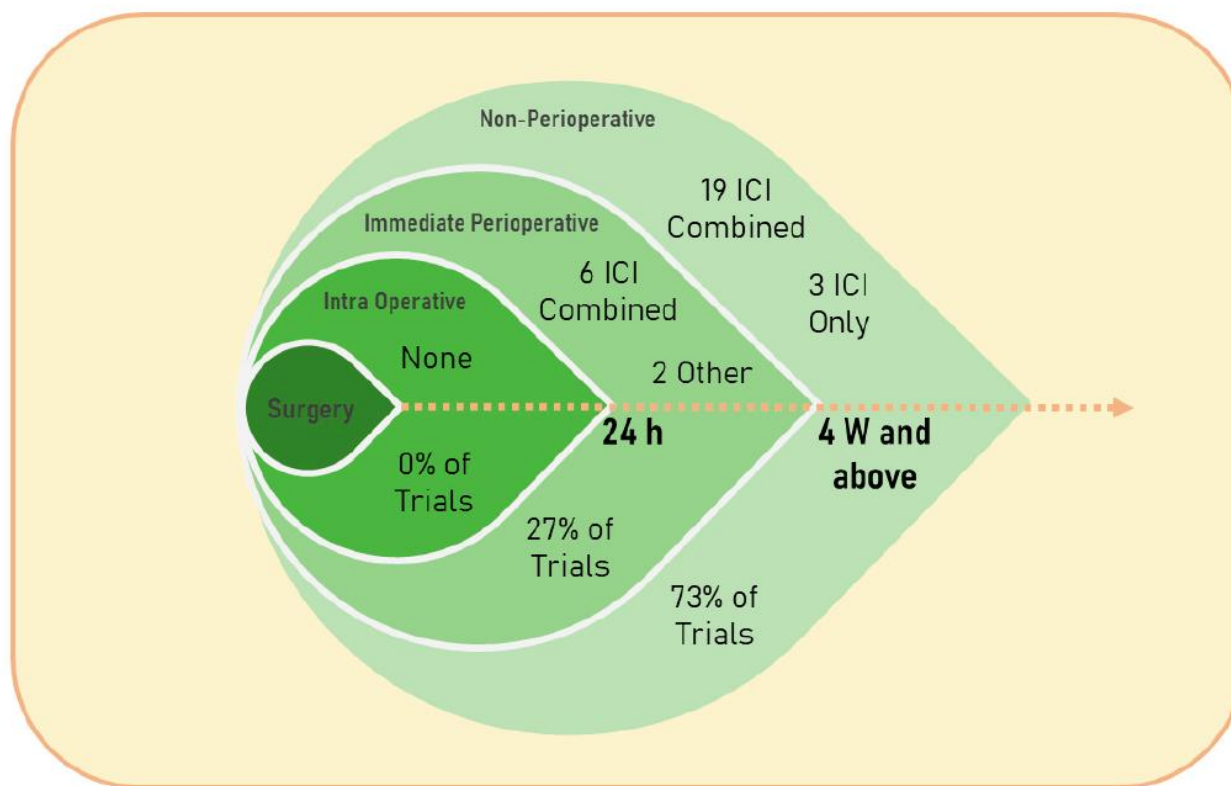
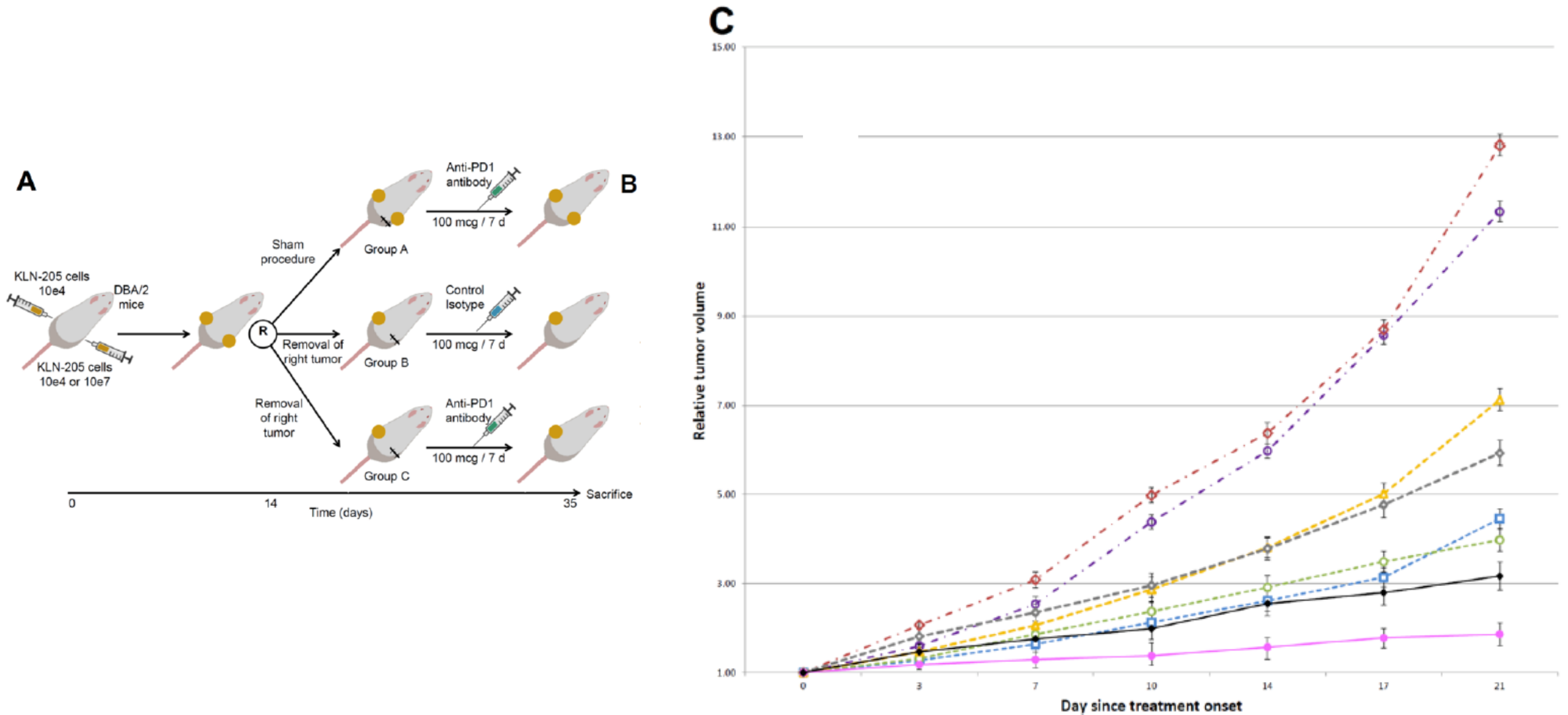


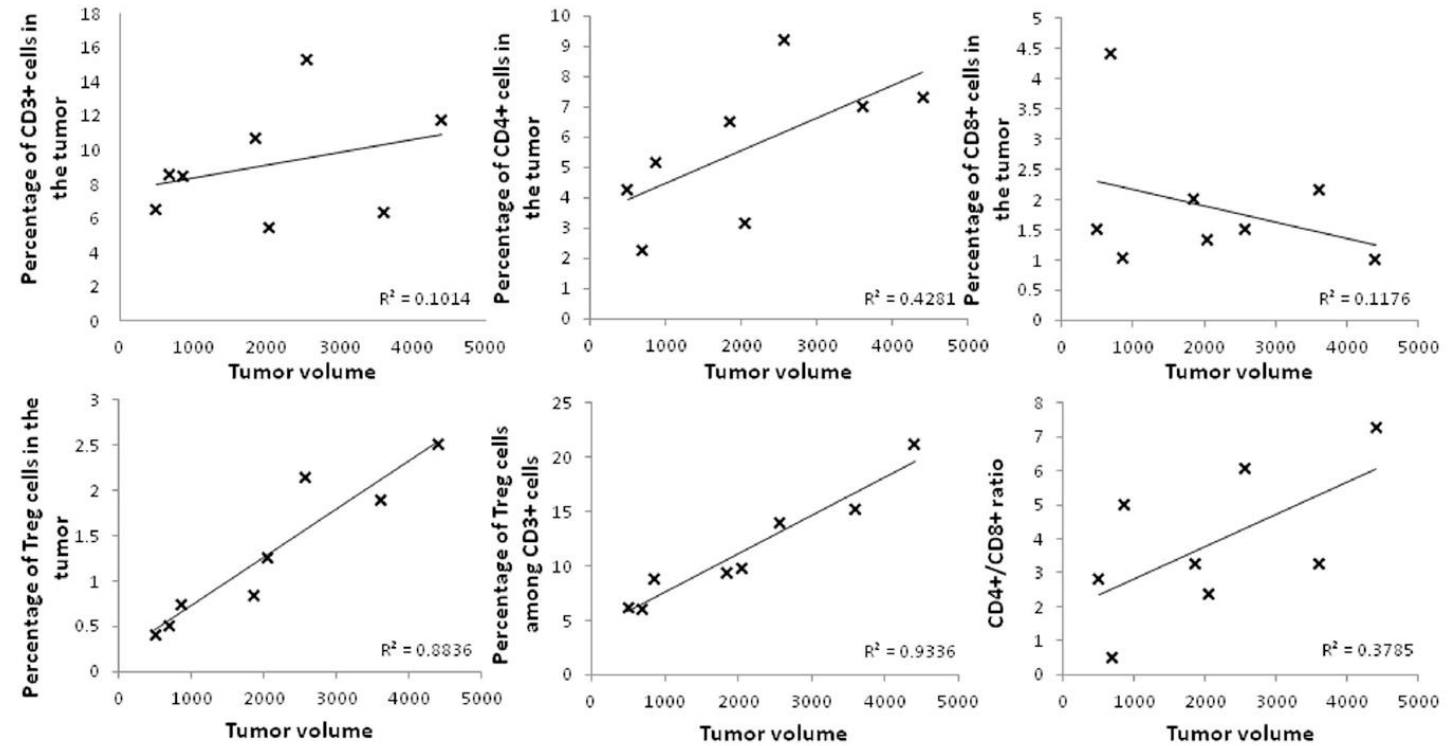
Figure 1. A schematic representation of Table 1. Timing relative to surgery and type of the treatment given to cancer patients in clinical trials found on search results of the keywords “cancer” and “perioperative immunotherapy” in the past five years (since 1 January 2018) on <https://clinicaltrials.gov/> (accessed on 14 April 2023). 24 h, 24 hours; 4 W and above, 4 weeks and above; ICI, immune checkpoint inhibition; Combined, ICI therapy combined with other types of interventions.

# Rationale of surgical debulking



# Rationale of surgical debulking

|                                    | High MTV<br>(n = 19) | Low MTV<br>(n = 29) | p-value |
|------------------------------------|----------------------|---------------------|---------|
| Best response                      |                      |                     |         |
| Partial response                   | 4                    | 8                   |         |
| Stable disease                     | 11                   | 17                  |         |
| Progression disease                | 4                    | 4                   |         |
| Progression-free survival (months) | 3.1 [1.6–5.2]        | 5.2 [3.1–12.3]      | 0.13    |
| 6-months progression-free survival | 3 (16%)              | 11 (38%)            | 0.049   |
| 1-year overall survival            | 7 (37%)              | 21 (73%)            | 0.013   |



- Bigger tumors have higher densities of Treg, and establish a tolerogenic state
- Metabolic competition between immune cells and cancer cells
- Hypoxia-induced recruitment of immunosuppressive cells and dysfunction of effector immune cells

# Conclusion

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- Even though the immuno-oncology develops, the role of thoracic surgeon is still valid for treating the cancer patients.
- More collaboration between surgeons and oncologists is needed in the era of immunotherapy.
- The concept of ideal surgery may be redefined in the future.



A photograph of the Samsung Research Center at night. The building is a large, modern structure with a glass facade, illuminated from within. The sky is dark blue, and the surrounding area is filled with trees and some streetlights. The text "Thank you for attention!!!" is overlaid in a large, bold, yellow font.

***Thank you for attention!!!***

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